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Company and New York Life Insurance and Annuity
Corporation

UNITED STATES DISTRICT COURT

NORTHERN DISTRICT OF CALIFORNIA

OLGA ORTMANN, as an individual and on
behalf of all others similarly situated,

Plaintiff,

v.

NEW YORK LIFE INSURANCE
COMPANY, a corporation; NEW YORK
LIFE INSURANCE AND ANNUITY
CORPORATION, a corporation; and DOES 1
through 20, inclusive,

Defendants.

Case No. **07 2506**
**DEFENDANT NEW YORK LIFE
INSURANCE COMPANY AND NEW
YORK LIFE INSURANCE AND ANNUITY
CORPORATION'S DISCLOSURE
STATEMENT**

1 **TO THE UNITED STATES DISTRICT COURT FOR THE NORTHERN**
2 **DISTRICT OF CALIFORNIA, AND TO PLAINTIFF OLGA ORTMANN AND HER**
3 **ATTORNEYS OF RECORD:**

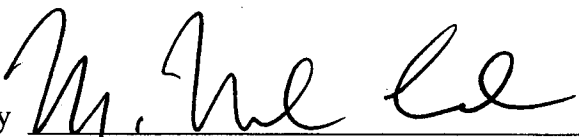
4 Pursuant to Federal Rule of Civil Procedure 7.1, the undersigned, counsel of record for
5 Defendant New York Life Insurance Company and New York Life Insurance and Annuity
6 Corporation, certifies that, to the best of his knowledge:

7 No parent corporation or publicly held corporation owns ten percent (10%) or more of
8 New York Life Insurance Company's stock.

9 New York Life Insurance and Annuity Corporation is a wholly-owned subsidiary of New
10 York Life Insurance Company. No other publicly held corporation owns ten percent (10%) or
11 more of New York Life Insurance and Annuity Corporation's stock.

12
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14 Dated: May 10, 2007

MORGAN, LEWIS & BOCKIUS LLP
JOHN S. BATTENFELD
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15
16
17 By 
18 M. Michael Cole
19 Attorneys for Defendants
20 New York Life Insurance Company, and
21 New York Life Insurance and Annuity
22 Corporation